

### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
NRPC HQ	National Passenger Railroad Corporation Headquarters
OIG	Office of the Inspector General

### IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
N/A	N/A	N/A	NRPC HQ	0	0
			OIG	0	

### V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
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NRPC HQ	276	240	158	358
OIG	0	6	6	0
AGENCY OVERALL	276	246	164	358

*\*After reviewing its database, NRPC updated the number of requests pending at the start of the Fiscal Year for the following component: NRPC HQ.*

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
OIG	0	0	1	1	3	1	0	0	0	0	0	0	6
NRPC HQ	38	34	39	27	0	7	0	1	5	2	5	0	158
AGENCY OVERALL	38	34	40	28	3	8	0	1	5	2	5	0	164

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason	TOTAL

		Was Relied Upon	
OIG	N/A	0	0
NRPC HQ	N/A	0	0
AGENCY OVERALL			0

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OIG	0	0	0	0	0	0	1	0	0	0	0	0	0	0
NRPC HQ	1	0	0	35	7	27	5	0	3	0	2	0	0	0
AGENCY OVERALL	1	0	0	35	7	27	6	0	3	0	2	0	0	0

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency /	Number of	Number of	Number of	Number of
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Component	Appeals Pending as of Start of Fiscal Year	Appeals Received in Fiscal Year	Appeals Processed in Fiscal Year	Appeals Pending as of End of Fiscal Year
OIG	0	0	0	0
NRPC HQ	12	4	3	13
AGENCY OVERALL	12	4	3	13

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OIG	0	0	0	0	0
NRPC HQ	2	0	1	0	3
AGENCY OVERALL	2	0	1	0	3

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**



**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OIG	N/A	0	0
NRPC HQ	N/A	0	0
AGENCY OVERALL			0

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OIG	N/A	N/A	N/A	N/A
NRPC HQ	739	641.67	290	896
AGENCY OVERALL	739	641.67	290	896









	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days		
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRPC HQ	4	3	0	0	0	0	0	0	0	0	1	1	1	10
AGENCY OVERALL	4	3	0	0	0	0	0	0	0	0	1	1	1	10

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
OIG	0	0	0	0	0	0	0	0	0
NRPC HQ	106	144.5	179	175	558	571	7	397	351
AGENCY OVERALL	106	144.5	179	175	558	571	7	379	351

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

Agency /		10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest
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Component		Oldest Request									Request
NRPC HQ	Date of Receipt	2018-03-30	2018-03-22	2018-01-29	2017-12-19	2017-04-24	2017-03-13	2017-03-09	2017-03-07	2017-01-23	2017-01-09
	Number of Days Pending	1135	1141	1178	1204	1369	1399	1401	1403	1433	1442
AGENCY OVERALL	Date of Receipt	2018-03-30	2018-03-22	2018-01-29	2017-12-19	2017-04-24	2017-03-13	2017-03-09	2017-03-07	2017-01-23	2017-01-09
	Number of Days Pending	1135	1141	1178	1204	1369	1399	1401	1403	1433	1442

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
OIG	0	0	N/A	N/A	0
NRPC HQ	16	0	19	79.06	6
AGENCY OVERALL	16	0	19	79.06	6

**VIII.B. Requests for Fee Waiver**

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
OIG	0	0	N/A	N/A
NRPC HQ	36	0	6	14.61
AGENCY OVERALL	36	0	6	14.61

**IX. FOIA Personnel and Costs**

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
NRPC HQ	0	1.00	1.00	228.00	0.00	228.00
OIG	2	0.00	2.00	12000.00	0.00	12000.00

AGENCY OVERALL	2	1.00	3.00	12228.00	0.00	12228.00
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**X. Fees Collected for Processing Requests**

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
OIG	0.00	0.0000
NRPC HQ	0.00	0.0000
AGENCY OVERALL	0.00	0.0000

**XI.A. Number of Times Subsection (C) Used**

Agency / Component	Number of Times Subsection Used
OIG	0

NRPC HQ	0
AGENCY OVERALL	0

**XI.B. Number of Subsection (A)(2) Postings**

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
OIG	0	0
NRPC HQ	3	0
AGENCY OVERALL	3	0

**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

Agency / Component	Number of Backlogged Requests as of End of Fiscal	Number of Backlogged Appeals as of End of Fiscal

	Year	Year
OIG	0	0
NRPC HQ	277	13
AGENCY OVERALL	277	13

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
OIG	0	0	0	0
NRPC HQ	0	2	2	0
AGENCY OVERALL	0	2	2	0

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
NRPC HQ	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's	Number Received During Fiscal Year from Current Annual	Number Processed During Fiscal Year from Last Year's Annual	Number Processed During Fiscal Year from Current Annual



	Annual Report	Report	Report	Report
OIG	23	6	23	6
NRPC HQ	141	240	4	158
AGENCY OVERALL	164	246	27	164

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
OIG	0	0
NRPC HQ	170	277
AGENCY OVERALL	170	277

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OIG	0	0	0	0
NRPC HQ	1	4	0	3
AGENCY OVERALL	1	4	0	3

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OIG	0	0
NRPC HQ	12	13

AGENCY OVERALL	12	13
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